

EXHIBIT 9

Investment Adviser Firm Summary

DEUTSCHE BANK SECURITIES INC. (CRD# 2525 / SEC# 8-17822, 801-9638)

Alternate Names: C. J. LAWRENCE INC. , C.J. LAWRENCE, MORGAN GRENFELL INC. , C.J. LAWRENCE/DEUTSCHE BANK ... [Show All](#) ∨

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The adviser's **REGISTRATION** status is listed below.

This adviser is also a [brokerage firm](#)

REGISTRATION STATUS

SEC / JURISDICTION	REGISTRATION STATUS ⓘ	EFFECTIVE DATE
SEC	Approved	11/12/1973

NOTICE FILINGS

Investment adviser firms registered with the SEC may be required to provide to state securities authorities a copy of their Form ADV and any accompanying amendments filed with the SEC. These filings are called "*notice filings*". Below are the states with which the firm you selected makes its notice filings. Also listed is the date the firm first became notice filed or registered in each state.

JURISDICTION	EFFECTIVE DATE
Alabama	01/31/2001
Alaska	10/15/1997
Arizona	12/28/1994
Arkansas	01/03/1995
California	07/08/1997
Colorado	01/01/1999
Connecticut	07/11/1997
Delaware	07/24/2003
District of Columbia	08/13/1998
Florida	07/19/2002
Georgia	01/31/2001
Hawaii	03/31/2009
Idaho	09/22/1992

Illinois	12/10/1998
Indiana	01/31/2001
Iowa	01/01/1999
Kansas	03/28/2008
Kentucky	03/28/2008
Louisiana	01/31/2001
Maine	01/31/2001
Maryland	06/25/1991
Michigan	01/31/2001
Minnesota	01/31/2001
Mississippi	10/13/1997
Missouri	10/14/1997
Montana	01/31/2001
Nebraska	03/28/2008
Nevada	05/19/1993
New Hampshire	01/31/2001
New Jersey	06/28/2002
New Mexico	01/31/2001
New York	01/31/2001
North Carolina	01/31/2001
North Dakota	03/30/2010
Ohio	03/28/2008
Oklahoma	01/01/1999
Oregon	03/28/2008
Pennsylvania	07/19/2002
Puerto Rico	03/24/1998
Rhode Island	01/31/2001
South Carolina	10/21/2003
South Dakota	03/28/2008
Tennessee	10/15/1997
Texas	06/24/2002
Utah	10/14/1997
Vermont	01/31/2001

Virgin Islands	03/30/2010
Virginia	07/15/1987
Washington	06/19/1995
West Virginia	10/16/1997
Wisconsin	11/07/1997

EXEMPT REPORTING ADVISERS

Exempt Reporting Advisers ("ERA") are investment advisers that are not required to register as investment advisers because they rely on certain exemptions from registration under sections 203(l) and 203(m) of the Investment Advisers Act of 1940 and related rules. Certain state securities regulatory authorities have similar exemptions based on state statutes or regulations. An ERA is required to file a report using Form ADV, but does not complete all items contained in Form ADV that a registered adviser must complete. Other state securities regulatory authorities require an ERA to register as an investment adviser and file a complete Form ADV. Below are the regulators with which an ERA report is filed.

Not Currently an Exempt Reporting Adviser